

Applicant : William R. Hambrecht et al.
Serial No. : Continuation Application
Filed : August 4, 2003
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Attorney's Docket No.: 13085-007002

Remarks

Claims 2-33 are pending in this application, with claims 2, 17 and 30 being independent. Claim 1 has been cancelled in this amendment and claims 2-33 have been added. No new matter has been added.

The specification is being amended to be consistent with the amended formal drawings being filed concurrently with this amendment and continuation application. Specifically, Figures 2-4 and 12 each were originally filed on a single page, whereas the formal drawings for those figures each require two pages. The figure references in the description have been amended to reflect the two-page figures.

A typographical error for a reference number in Figure 1 has been corrected. Also, a sentence to reference each of Figures 12-14 was added to the detailed description. The inserted sentences correspond to the description of each figure in the brief description of the drawings, beginning on page 2 of the specification. In the specification, a typographical error in which "computer" was mistyped as "cinoyters" has been corrected.

An abstract is provided that reflects the amendment to the claims.

This application is being filed after the payment of the issue fee and prior to the issuance of the patent in the parent application. Applicant asks that all claims be examined in view of the amendment to the claims.

Enclosed is a \$483 check for the filing fee (\$375) and for excess claim fees (\$108).

Please apply any other charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: 8-4-03

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Amendment to the drawings:

Replacement drawing sheets 1-18 are attached to this amendment. Please substitute the attached replacement drawing sheets for the corresponding original drawing sheets filed with the application.

Figures 3-6 and 12 are being amended to be consistent. In Figure 3 as originally filed, the typewritten company name of "W.R. Hambrecht Co." was marked out and replaced with "Auction Server." Figures 3-5 have been amended to reflect that same change of using the term "Auction Server" for the specific company name of "W.R. Hambrecht Co."

A typographical error has been corrected in Figure 4. The "HC Technical Support" has been corrected to be consistent with "BHC Technical Support" as used elsewhere in the drawing.

Figure 6 is an illustrative drawing of database tables and has been amended by deleting the physical data model label that had been included in the drawing.

In Figure 12, the label of the "Mod Price and/or Amt" rectangle has been amended to be consistent with the decision box with the text "Modify Order" that proceeds the rectangle being amended. More specifically, "Mod" has been changed to "Modify." In addition, the abbreviation "Amt" has been spelled out to "Amount."